

INTRODUCER AGREEMENT

This agreement is made between Holme Finance Bridging Solutions "the firm" and _____

_____ "the introducer" and shall take effect from

The agreement will replace any previous agreement between the firm and the introducer.

The Firm advances short term secured finance which fits the definition of an 'exempt agreement' as defined in the Financial Services and Marketing Act 2000.

The agreement shall be terminated at any time by either party giving 7 days written notice to that effect. Termination of the agreement will not affect the rights or obligations of either party in respect of business already completed by the firm.

The firm agrees:

To provide information only to the introducer's client in a manner consistent with the regulations in place at the time of this agreement and as subsequently amended.

That all remuneration due to the introducer will be the firm's absolute responsibility

The introducer agrees:

Before 1st April, 2014 to hold an up to date and current Consumer Credit licence issued by the Office of Fair Trading (at least category C).

From 1st April 2014 to hold an up to date and current interim FCA permission for the activity of credit brokerage and to provide us with evidence to this effect, including your FCA IP number.

To provide us with confirmation of the outcome once a full FCA authorisation application has been decided and, thereafter, to keep us informed of any lapse or changes in authorised status.

To comply, in so far as you are involved, with any and all regulation relevant to the application (as amended from time to time), to abide by the FCA principles and to carry out only those activities for which the relevant categories/authorisations are held.

To ensure that, so far as possible, all information provided is accurate.

To cooperate with any investigation into any compliant.

To not give any indication to the client that there is any relationship between the introducer and the firm other than this agreement.

To take responsibility for any advice given, not to provide such advice in the firms name and to fully indemnify the firm against any litigation arising as a result of advice provided by the introducer.

To return any stationery, sales literature, brochures etc issued by the firm to the introducer to the firm's offices upon termination of this agreement.

THE SCHEDULE TO THIS AGREEMENT

The Firm

The Introducer

We agree to be bound by the above terms.

We agree to be bound by the above terms.

Signed:

Signed:

(for the firm)

Name

Dated

Dated

Introducer Company Name	
Address	
Telephone Number	
email Address	
Introducer's Main Business	
Limited Company Registration Number	
OFT CCL Number	
FCA FRN	
Membership of a Professional Body	